

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s)** : Barry MCQUAIN

**Serial No.** : 09/961,026

**Filed** : September 20, 2001

**Examiner** : CHANDLER, Sara M

**Group Art Unit**: 3693

**For** : SECURITIES PRICING SYSTEM

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT UNDER 37 C.F.R. 1.114**

Sir:

In response to the Office Action mailed October 12, 2006, kindly amend the subject application as follows.

The **Claims** Section begins on page 2 of this paper.

The **Remarks** begin on page 9 of this paper.